

**Cursory Civilian Curriculum Vitae  
of  
Dr. Mark D. Lurie, CFE, CTA, CHRE, CFS**

51 Bedford Road, Roundup, Montana 59072  
United States  
(406) 320-1036 MST (cellular)  
[Mark.lurie@rsi4u.org](mailto:Mark.lurie@rsi4u.org)

**Vision – Disciplines - Focuses:**

Have actively maintained 35+ years' experience in C-Level senior executive management positions / international directorate appointment(s) and command appointments / positions including, but not limited to expert disciplines / focuses / experience in:

Researching, developing, launching, commanding and directing company / agency operations with disciplines / responsibilities including, but not limited to:

Formulating and Directing Research and Development, Strategic Planning, Corporate / Agency Strategy and Global Agency Operations (with specific emphasis on Threat Assessment, High-Risk Assessment, Anti-Terrorist Assessment (**ATA**), Security and Threat Assessment (**STA**) Security and Fraud Assessment – Analysis – Projection Analysis and Mitigation Strategies / Protocols thereof and Appointed Command Operations (**ACO**);

Developing, directing, managing or commanding High-Risk Anti-Threat, Anti-Terrorist and Anti-Fraud Operations integrating necessary strategic planning, intelligence formation / validation and mitigation requirements domestically and internationally. This includes, but is not limited to detailed cultural / regional history, cultural structures and philosophies, religious structures and geo-political, historical-to-present real-time intelligence effecting on-going government / foreign policies;

Developing and Overseeing Counter-Intelligence, Counter-Destabilization and Counter-Propaganda Methodologies, Strategies and Operations for both Domestic and International Applications / Assignments. This includes identifying and tracking of individuals, parties and organizations of interest or where validated evidence was acquired warranting the monitoring and tracking operations leading to arrests, indictments and other mitigation actions / protocols;

Development and Commanding Counterintelligence units addressing validation of threat-awareness training, protocols and identification of individuals / entities which present behavior of participating in espionage, or high-threat behavior activities;

Directing, Overseeing and Performing Interrogation Strategies, Methodologies and Operations, including but not limited to defining objectives in relation to time limitations / constraints and threat potentials (levels), methods / degrees of interrogation to be utilized and final validation of intelligence / information acquired;

Tactical Research, Analysis, Assessment and Strategies addressing High-Risk Threats, Risks and Exposures. This includes, but is not limited to government agencies, both nationally and internationally as well as establishing projected results, effects and damage rating assessments along with proposed mitigation strategies, protocols and termination procedures;

Major “Think Tank” formation and participation, nationally and internationally including, but not limited to addressing government agency projects and assignments, political structures, intelligence and counter-intelligence proposed operations, intervention strategies with post-implementation effects assessment, strategy assessments, comparative analysis addressing high risk geo-political issues, military threat assessment scenarios, probability assessments, and nationalization **Cause-and-Effect (CaE)** scenarios;

International and Domestic Intelligence Research and Development, addressing various scenarios and CaE factors. This includes, but is not limited to agency departmental levels, Think-Tank formation(s) with secured participation specifically addressing targeted areas of high-risk, high-threat or targets of de-stabilization / terrorism (as well as determining the methodologies and strategies most likely to be used or implemented on a case-by-case basis for most optimal mitigation);

Establishment and directing of **Proactive Strategic Research and Planning Operations (PSRPO)** that addresses, but is not limited to religious historical analysis and philosophies, political influences, military structures, cultural methodologies / changes, business and financial operations intelligence, agency and institutional policies including, but not limited to internal and outside influence intelligence, foreign influence (e.g. propaganda, de-stabilization and terrorist strategies and objectives) and on-going implementation / improvement and influence(s) within the governmental and private sectors addressing proactive preventative strategies and security / mitigation planning / recommendations;

Researching, overseeing, directing and / or commanding Intelligence operations, high-risk threat risk and terrorist research and development operations, validation and analysis used for, but not limited to **Objective Projection Determinations (OPD)**, pending action(s) / propaganda, destabilization activities, terrorist events / soft targets, hard targets and estimated calculation of losses of life and physical assets (e.g. targets of opportunity) and precedence / priority of soft and hard target locations both domestically and internationally;

Directing of Civilian and Agency-Level Security Assessments addressing known or identified potential threat level(s) of exposure to personnel (and their families), physical assets and collateral operations as well as **General Security Operations (GSO)**. Presenting findings to domestic committees and international counterparts as well as applicable recommendations and required resources to address such security risks;

Focuses on research and identification of primary and secondary targets of opportunity including, but not limited to the destabilization / terrorist operations against foreign governance operations, government security operations, military infrastructures, political positions, general civilian population locations / institutions and local government infrastructures;

Directorate and Regional Director of on-going domestic and international strategy and assessment teams / personnel, with expert-experience-high security level **Human Intelligence (HIMINT)** acquisitions, **Imagery Intelligence (IMINT)** acquisitions and **Signals Intelligence (SIGINT)** acquisitions operations;

Liaison operations between **Intelligence Analysis Center (IAC)** facilities addressing findings, validation of intelligence acquired, and crucial decision addressing mitigation of both high-risk threats and security threats to domestic and international soft targets, hard targets, personnel and families, and other physical assets;

Developing, implementing and directing **Common Operational Picture (COP)** methodology(s), strategy(s) and policies / protocols addressing “proactive” preventative measures, security and mitigation protocols including, but not limited to mitigation of loss of life and physical assets. Such analysis would include both domestic risks / threats as well as specific foreign country instabilities / potential terrorism targets as well as establishment of formation of viable identification of high-risk-asset targets of opportunity and collateral security risks / threats. This also includes training, development and commanding of both domestic and foreign staffing / personnel Outside the **Contiguous United States (OCONUS)** responsible for or involved with such on-going operations;

Detailed real-time research and analysis reporting on foreign alliance / cooperation structures, policies and applicable officials involved directly or indirectly. This includes validation and identification of conflicts of interest, direct conflicts against policy protocols and responsibilities and identification of compromised intelligence / information and recommended mitigation protocols to proactively implement;

Directing and serving appointed directorate positions researching, assessing and identifying money laundering operations, including, but not limited to point of origin to disposition marks which also incorporated the identification of clearing houses, financial institutions and government agencies utilizing such laundered funds for terrorist funding, organized crime and general frauds. This also includes identification and methodologies / strategies used in laundering operations points of origin that deal with commodities, stocks, bonds, **Virtual Currency Transactions (CVT)** investments and the like;

Researching, developing and publishing / listing studies of the first known written work on Identification of **Virtual Financial Money Laundering and Frauds (VFMLF)** including the methodology, strategies used, identification of virtual revenue laundering structures and how they operate (both domestically and internationally);

Developed the first generation of successful identification and tracking methodologies of VF2 and **Virtual Money Laundering (VML)** as well as the on-going strategies for Counter-VF2 and VML activities;

Directing agency teams, personnel and joint international personnel addressing cyber-terrorism, **cyber-threats and cyber-related high-risk compromises / breaches**. This included defining point-of-origin of cyber-attacks, effected areas that have been compromised, mitigation and containment of damages, securing all affected areas, identification of individuals and / or companies involved and the interfacing of specific law enforcement / international governmental agencies with arrests, prosecution and subsequent conviction(s);

Directing assessments and investigations of financial institution frauds and financial compromises including, but not limited to identification of point-of-origin of compromise, strategies utilized in breaching security and protection protocols, identification of individuals and/or companies involved, identification of point-of-disposition of illegally acquired / movement of funds / assets / fluid revenue(s), and to recommend / implement mitigation strategies / protocols;

Directorate of first Joint - S.E. Asian International Think Tank operations addressing VML and VF2 activities and the recommended counter-measures to identify and mitigate such illegal / criminal and potential terrorist activities;

Directorate of Joint EU Think Tank operations addressing Direct or Hard Frauds, VML and VF2 activities and the recommended counter-measures to identify and mitigate such illegal / potential terrorist activities;

Served a formal appointment as Regional Director of Threat and Fraud Assessment under the **National Directorate of Security (NDS)**, under the overall control of **Amrullah Saleh**. Included the research and development center for security operations, threat assessment and mitigation strategies, policies and operations;

Directing of high-risk threat, risk and fraud assessment within governmental military and intelligence agencies, public and private institutions on a domestic / international basis. This also included training development and commanding of both domestic and foreign OCONUS staffing / personnel responsible for or involved with such on-going operations. Fraud Assessment focuses were on point-of-origin to disposition tags with heavy emphasis on terrorist disposition tags (e.g. locations of revenues and liquid currency);

Directing and performing liaison responsibilities as designated directorate between / of governmental agency(s) and private sectors / institutions threat, crisis (mitigation) containment, proactive planning, strategy and policies. This also included training, development and commanding of both domestic and foreign staffing / personnel OCONUS. Maintaining, directing and commanding governance operations, including, but not limited to auditing and security validations, continual project security audits, operation and protocol implementations;

Directing of distressed or compromised governmental agencies and institutions addressing causation, turn-around protocols, restructuring, reorganization and mitigation research planning, strategy, policies and initiation thereof. This included, but was not limited to identification of individuals and agency organizations that were compromised, the level of threat or risk posed by such compromise(s), the duration of the compromise(s) and the recommended levels of mitigation options necessary to implement;

Formation and directing of high-risk mitigation strategy and policies, with heavy emphasis on governmental agency / private sector operations (e.g. security, various infrastructure operations, military, etc.). Focuses would include, but not be limited to high-risk agency compromises by terrorist factions or individuals / companies / agencies which are aligned with known terrorist organizations;

Specialization in research, development, implementation and directing of automated systems, manual systems, policy structuring and systems / procedures that are utilized to (1) prevent high-risk threats, risks and frauds; (2) train domestic / foreign management staff in the techniques and operations required; and (3) to mitigate the effects of threats, risks or frauds "when" they occur;

The on-going real-time directing of staffing / personnel responsible for monitoring and validation of cyber-security operations, as well as "irregularly-planned audits / tests" of staffing and personnel specifically addressing potential security risks. Establish and direct real-time cyber-terrorism protocols "when" security breaches and compromises take place; and

Complete explanation, training and integration of Basel III methodologies, policies and strategies including, but not limited to training manuals, forms, and teaching materials explaining the differences, modifications, changes and additions from Basel II to Basel III. This would incorporate the transparency laws and regulations for compliance (both voluntarily and mandatory) for financial institutions, clearing houses and investment companies.

### **Summary of Personal Foundations:**

- To address exceptionally volatile, high-exposure matters / incidents / events with proven successful negotiating, directing and executing best reasonable efforts in mitigating such events;
- Ability to build “maintainable” viable operations / business advantages through realistic, proactively-formulated solutions affecting all civilian, business, government, agency and military facets;
- To be able to provide staffing, subordinate officers / agents and support personnel where best, reasonable effort has been, and continue to be used to provide validated evidence of their integrity, honesty and loyalty;
- Qualitative experience in “mission critical” strategic planning, policy development and implementation-level as a senior executive management / directorial / command level;
- Demonstrated, consistently, the highest levels of integrity and the ability to maintain / achieve operation objectives / goals under internal and/or external pressure at all times;
- A proven history to direct and command staffing and subordinate personnel in exceptionally high-risk environments (e.g. foreign countries) with consistently successful results);
- To historically produce successful mitigation results with high-risk personnel and asset extraction operations under exceptionally-hostile conditions;
- To acquire credible, validated intelligence / information from interrogations(s) performed and the process / method(s) implemented, both under extreme time limitations and conditions, or under exceptional potential high-risk treats required to be identified.
- Highest-quality research / analytical skills, directorial / management / command experience, and unique relationship management competencies, especially under exceptionally high-risk / high-exposure environments and conditions;
- Ability to anticipate, influence and assist agency or organization (e.g. governmental, institutional, agency, military, etc.) to proactively analyze, assess and rapidly adjust to changing conditions and trends (internal and external) of importance to the direction of the agency or organization. This includes, but is not limited to threats, risks and frauds especially focusing on cause-and-effect, and the mitigation of property and life “threats”;

- Provide tactical command and analysis skills used to adapt with ever-changing political and / or policies of foreign countries / governments and identify immediate threats and risks to personnel, operating agencies and soft / hard assets;
- Historically-proven command skills in deployment and directing counter-intelligence and intelligence teams / personnel resulting in the best, reasonable “validated” information acquisition, determination of the level accuracy information accumulated; analysis of the value of such information is; and present a summary report with the required recommendations and courses of action to take / implement;
- Resilient ability and effectiveness in communicating recommended courses of action for innovative, business-agency-military oriented responses as well as implement or command them, both on domestic and international levels;
- Passion for excellence and a demonstrable orientation toward successful staff quality, security, loyalty, education and development. The issue of continuing education and exposure to evolving research / assessment techniques is of utmost concern that it constantly be performed by all personnel at all levels;
- To emphasize the constant reminder of the utilization of the purpose of the chain-of-command was designed for, the methodology behind its formation, the systems and procedures used within it and the critical nature of its use by all personnel “as designed”;
- A proven achiever with exceptional command, management, teaching, research and communication skills resulting in successfully developing, directing, commanding and integrating multiple agencies, divisions and departments and with the highest level of both integrity and ethical standards;
- To provide specialized and highly-focused on-going training of staffing and personnel resulting in their capabilities being more effective; stronger self-confidence; a clearer understanding of the disciplines required for the task / project at hand; and how to apply them in the most effective manner;
- Analytical skills / assessment skills viewed as a priority to perpetually address and improve on; to work utilizing “proactive” methodologies as much as possible; increasing mitigation analysis and recommendations skills; and clear, concise interaction with subordinate, peers and management / command personnel;
- To be accountable and take full responsibly for all assigned projects / duties; and actions / performance of all subordinate personnel;
- To always approach all duties, tasks, assignments and projects “proactively”;

- To address and complete high-risk threat assignments internationally including the incorporation of / training of Internationals (OCONUS) in such on-going operations, whether they are civilian, military, or a combination thereof;
- To constantly maintain and improve study / research skills including, but not limited to addressing history, political science, sociology, religious changes, cultural structures, foreign policies, associations with other foreign countries, which have a direct or indirect impact on constantly-changing events relevant to assignments or projects.

**Occupation Experience, Summary of:**

**Research Solutions, Inc. (RSI™), United States**

Job Title: CEO, Threat & Fraud Assessment (Domestic and International)

Reports to: Board of Directors, Chief Corporate Counsel and to Assigned United States Government Agencies

November 2009 to Present

**Special Notations:** In 2010, **Quality Assurance Laboratories, Inc.** became a wholly owned subsidiary of Research Solutions, Inc.

**Quality Assurance Laboratories, Inc.**, United States

Job Title: Chief Executive Officer (CEO)

Reports to: Board of Directors (BoD), Chief Corporate Counsel and specific United States Government Agencies 2000 – 2009

**Cursory Summary of Corporate Core Responsibilities:**

- Responsible for the formation, implementation and directing of strategic planning and objectives of the company.
- To facilitate the Board of Directors, and as a member, carry out and perform its designated governance roles regarding all strategic goals and objectives of the company, including, but not limited to advising, recommending, informing Board of Director members.
- Responsible for the direction and leadership toward the achievement of the organization's methodology, philosophy, mission statement, strategies, annual goals / objectives and protocols.
- Provides Board administration / support as well a company program operations including, but not limited to the direction, planning, design, promotion, marketing, scheduling, production, quality and delivery of the programs / products / services / deliverables.
- Responsible for the total company program including, but not limited to the direction, planning, design, promotion, marketing, scheduling, production, quality and delivery of the programs, products / services of the company
- Responsible for recommending, providing and directing of financial, tax, threat, risk, security and facilities management.



- Responsible for the recommending, providing, overseeing and directing of the company annual budget for Board approval and prudently direct the organization's resources within those budget guidelines according to current domestic / global laws and regulations.
- Responsible for the recommending, providing, overseeing and directing the human resources of the organization according to authorized personnel policies and procedures that fully conform to current domestic / international recognized laws and regulations.
- Responsible for the recommending, providing, overseeing and directing community / public relations matters and assures the organization and its mission, programs, products and services are consistently presented in strong, honest and clear image to relevant stakeholders and other applicable government agencies / personnel.

*Primary Cursory **Mission Critical** Disciplines:*

- Threat Assessment / Threat Intelligence and Anti-Threat Operations -
- Counter-Intelligence and Counter-Terrorism Assessment / Research -
- Intelligence Validation Operations –
- Interrogation Procedures and Operations -
- Identification of High-Risk Soft / Hard Targets of Opportunity and Personnel -
- Crisis Operations and Planning-
- Military, Government and Civilian Security Threat Assessment and Required / Necessary Containment / Mitigation and Termination Operations –
- Intervention Assessment & Command Operations of High-Risk Threats -
- Real-Time Intelligence Operations –
- Mitigation Strategies and Command Operations Director –
- ATPTO Directorate and Operations Commander –
- Distressed Institutions and Company Operations –
- Identification of Compromised Operations, Government Agencies & Governance Operations –
- Turn-around Research, Planning and Strategy –
- Company Threat / Crisis Containment –
- Termination of Operations –
- Reorganization and Restructuring –
- Organization and Institution Shut-Downs and Seizures –
- Public Relations - Reporting –
- Mitigation Strategy and Implementation –
- Termination of Threats (potential / existing)
- Virtual Financial / AML Operations –
- Threat Awareness Through Counterintelligence Training / Protocols -
- Identification and Validation of Behavior Indicating Potential Espionage, Compromising of Individuals, Assets or Agencies and Identifying High-Threat Targets -

- Development and Commanding Counterintelligence units addressing validation of threat-awareness training, protocols and identification of individuals / entities which present behavior of participating in espionage or high-threat behavior activities –
- Tactical Research, Analysis, Assessment and Strategies addressing High-Risk Threats, Risks and Exposures. This includes, but is not limited to government agencies, both nationally and internationally as well as establishing projected results, effects and damage rating assessments along with proposed mitigation strategies, protocols and procedures -

*Cursory **Specialized Disciplines:***

- Direct, manage and oversee domestic / global company operations including global strategic planning / strategies / protocols.
- Operations focus is "industry / government-wide" (e.g. banking, insurance, governmental agencies, contractors, pharmaceuticals, manufacturing, financial, service-oriented, military, etc.) conducted both domestically and internationally.
- Development and directing of intelligence operations, strategy and methodology; and research, development of directing of Common Operational Picture (COP) methodology, performed on a domestic and / or international level.
- Direct and manage all divisions of company operations including strategy / policy formation and implementation through senior executive management personnel (e.g. vice-presidents, directors and division chiefs, military and agency personnel) as well as OCONUS command responsibilities.
- Develop, implement and direct corporate operations strategic planning and strategy methodology / protocols domestically and internationally.
- Develop and direct the implementation of various applicable mitigation policies and methodologies for various clients and governmental agencies.
- Develop applicable policies and methodology for disaster planning for various clients and governmental agencies.
- Secure, direct and manage Threat, Fraud, Risk and Security studies, research, investigations and assessment operations.
- Achieving objectives in acquiring credible and validated intelligence / information from interrogation operations.
- Develop and direct / command the implementation of various applicable mitigation policies and methodologies for various clients and governmental agencies.
- Develop applicable policies and methodology for crisis / disaster planning for various clients and governmental agencies and applicable mitigation enforcement nationally and internationally.
- Direct, manage and oversee Anti-Money Laundering Operations (AMLO).
- Direct, train, deploy and manage several investigative / forensic teams, or departments, pursuing assigned domestic / global threat / fraud investigations including Joint Assistance Operations (JAO). This includes the directing and coordination / command of applicable divisions as well as with OCONUS personnel / management.

- Direct and manage the preparation of evidence as a result of investigative activities / analysis of concealed threats / frauds and the methods used to conceal it / them.
- Provide expert witness testimony or findings including the preparation of evidence and key exhibits to be used in domestic and international courts, oversight committees and government / agency task forces.
- Identify money sources being dispositioned for terrorist activities/funding.
- Acquiring, validating and submitting the names, with complete supportive information to the real-time-produced international terrorist list as a result of findings and research performed.
- Establish, train and manage financial and general investigators, threat assessment staffing, risk assessment staffing, auditing personnel (e.g. Internal Auditors) and forensic analysts domestically and internationally.
- Direct / command and manage the investigative potential / known sensitive company government frauds / money laundering operations, which cross international boundaries, for purposes of evidence-acquisition for applicable law enforcement agencies and departments domestically and internationally.
- Direct / command and coordinate investigations / research of point-of-origin high-risk threat / fraud activities, the type of threat(s) / fraud(s) implemented and the companies / parties involved. This includes the research, development and documentation of operations, individuals, companies and agencies involved directly / indirectly (e.g. historical backgrounds, involvements, documenting / accumulating supportive evidence, etc.) and coordinating with various agencies and law enforcement entities domestically / internationally.
- Direct / command and coordinate threat, fraud and risk studies / investigations / assessment reviews / analysis with potential and on-going engagements.
- Establish **Behavioral Threat Values (BTV)** to be utilized by intelligence and counterintelligence agencies, agencies and units in real-time assessments.
- Direct / command the formation and development of High-Risk Threat Assessment / Fraud Assessment / Risk Assessment policies and procedures.
- Manage / coordinate the development and integration of High-Risk Threat Assessment, Fraud Assessment, Risk Management and Internal Auditing departments with “Convergence Policies.”
- Implement and execute High-Risk Threat Operations incorporating / training of Internationals in such on-going operations as well as mitigation / termination policies.
- Commanding Counterintelligence units addressing validation of threat-awareness. This includes, but is not limited to training, validation protocols and identification of individuals, businesses and agencies which present traceable behavior of actions deemed as potential espionage (rated on a threat scale), or potential high-threat behavior activities.
- Tactical Research, Analysis, Assessment and Strategies addressing High-Risk Threats, Risks and Exposures towards government agencies / military infrastructures, both nationally and internationally. This would include, but not be limited to the risk scenarios, effects and damage rating assessments. Proposed actions and tactical recommendations to be recommended and implemented.

- Operations focus is "industry / government-wide" (e.g. banking, insurance, governmental agencies, contractors, pharmaceuticals, manufacturing, financial, service-oriented, agencies, department of / ministry of defense, military, etc.) conducted both domestically and internationally.
- To direct, analyze and determine the status (condition) of a distressed-failing business / institution and agencies; the degree of threat of complete failure or compromise; assess recovery / stabilization potential; and recommend / present / direct the necessary strategy to reorganize / operations or terminate / mitigate losses.
- To direct the seizure of assets and tangible assets.
- To protect the personnel and physical assets of various entities.
- Direct the complete assessment analysis required to establish a valid and credible **Multi-Faceted Timeline Layouts (MFTL)** and determine whether reorganization or termination of the business / institution / agency is required (warranted) including a raw timetable.
- Strategy formation to be implemented for the reorganization (with or without regulatory protection) or termination and mitigation strategy.
- Business / agency restructuring / reorganization - Formation of the necessary policies, protocols, systems and procedures required as well as direction of their implementation; and establishment of the strategic plan and policies required for the stabilization / growth of the business / institution.
- To analyze the present **Chain-of-Command (CoC)**, and to direct the restructuring / functional transparency of the CoC, throughout all departments, divisions or applicable agencies to optimize the effectiveness of it within the institution / government agency(s).
- Agency / Stakeholder representation and public relations - To present "state of condition" reports, advisory recommendations and options; to answer questions addressing causation, containment, mitigation, recovery or shutdown; to direct operations for the purpose of mitigating fallout, public relations reports and stakeholder / agency / government notifications.
- Coordinating decision-making strategies with loan / banking institutions in relation to debt recovery or mitigation for settlement purposes; and representing with legal operations for ultimate approval of such agreed strategy / plans.
- COP implementation and explanations to all applicable parties including company staffing and management, clients, creditors and legal entities (if and when required); and establish business / institution "statements of condition" and "status reports" when appropriate or required.
- Board of Directors / Stakeholder / Agency coordination and advisory operations.
- Civil / criminal violations / actions assessment and advisory responsibilities in conjunction with legal operations - mitigate exposure issues and risks, both known and pending.
- Settlement analysis, strategy and advisory direction.
- Proactive COP business planning and direction for reorganization, stabilization and re-establishment of revenue growth.
- Direct, manage and oversee domestic / global company operations including global strategic planning / strategy.

- Operations focus is "industry / government-wide" (e.g. banking, insurance, governmental agencies, contractors, pharmaceuticals, manufacturing, financial, service-oriented, etc.) conducted both domestically and internationally.
- Development and directing of business intelligence operations, strategy and methodology; and research, development of directing of COP methodology.
- Develop, implement and direct corporate operations strategic planning and strategy methodology / protocols domestically and internationally.
- Develop and direct the implementation of various applicable mitigation policies and methodologies for various clients and governmental agencies.
- Develop applicable policies and methodology for disaster planning for various clients and governmental agencies.
- Direct, train, deploy and manage several investigative / forensic teams, or departments, pursuing assigned domestic / global threat / fraud investigations including Joint Assistance Operations (JAO). This includes the directing and coordination / command of applicable divisions as well as with OCONUS personnel / management.
- Manage and provide expert witness testimony in state, federal and international court / legal systems including the preparation of evidence and key exhibits to be used.
- Direct and manage the investigative operation(s) of potential / known sensitive company government frauds / money laundering operations, which cross international boundaries, for purposes of evidence-acquisition for applicable law enforcement agencies and government departments / agencies.
- Direct and coordinate investigations / research of point-of-origin threat / fraud activities, the type of threat(s) / fraud(s) implemented and the companies / government(s) / parties involved. This includes the research, development and documentation of operations, individuals, companies and government agencies involved directly / indirectly (e.g. historical backgrounds, involvements, documenting / accumulating supportive evidence) and coordinating with various government agencies and law enforcement entities domestically / internationally.
- Coordinate and manage threat, fraud and risk studies / investigations / assessment reviews / analysis addressing potential and on-going engagements domestically and internationally.
- Direct the formation and development of Threat Assessment / Fraud Assessment / Risk Assessment policies and procedures.
- Direct / coordinate the development and integration of Threat Assessment, Fraud Assessment, Risk Management and Internal Auditing departments with "Convergence Policies".
- Identification of Targets of High-Risk Threats, representing "live" assets and "physical" assets, and responsible for their containment, protection and extraction (as required).
- Direct, implement, execute High-Risk Threat Operations also incorporating / training of Internationals in such on-going operations as well as mitigation /termination policies.

**Quality Assurance Laboratories, Inc., United States**

Job Title: Chief Executive Officer (CEO)

Reports to: Board of Directors (BoD), Chief Corporate Counsel and specific United States Government Agencies

2000 - 2010

**Noted International Interim Project Assignment(s):**

**Appointment to the Middle East,  
Regional Director of Threat and Fraud Assessment (Kabul, Afghanistan)**

2005/6 – 2009/10

**Appointed as Director of Threat and Fraud Assessment**

Working Sabbatical / Interim Assignment for PricewaterhouseCoopers (PwC), IL, an affiliate of Kesselman & Kesselman, PricewaterhouseCoopers, Kesselman Global Risk Management Solutions – Successfully researched, developed, launched and directed a new division for the company to formally enter the field of threat and fraud assessment.

2006 – 2007

**Cursory Summary of Responsibilities:**

*(Please reference End Note<sup>i</sup>)*

Appointment Operations: World Bank, International Development Association (I.D.A.) - Criminal Division - Global Anti-Laundering and Banking Crimes

Appointment Title: **Chief Executive Advisor (CEA), International Operations**

**Cursory Summary of Responsibilities:**

*Please reference End Note<sup>ii</sup>*

**Special Notations:** In 2010, Quality Assurance Laboratories, Inc. became a wholly owned subsidiary of Research Solutions, Inc.

**Research Solutions, Inc. (RSI™), United States**

Job Title: CEO, Threat & Fraud Assessment (Domestic and International)

Reports to: Board of Directors, Chief Corporate Counsel and to Assigned United States Government Agencies

November 2009 to Present

**Cursory Summary of Corporate Core Responsibilities:**

- Responsible for the formation, implementation and directing of strategic planning and objectives of the company.
- To facilitate the Board of Directors, and as a member, carry out and perform its designated governance roles regarding all strategic goals and objectives of the company, including, but not limited to advising, recommending, informing Board of Director members.

- Responsible for the direction and leadership toward the achievement of the organization's methodology, philosophy, mission statement, strategies, annual goals / objectives and protocols.
- Provide Board administration / support as well a company program operations including, but not limited to the direction, planning, design, promotion, marketing, scheduling, production, quality and delivery of the programs / products / services / deliverables.
- Responsible for the total company program including, but not limited to the direction, planning, design, promotion, marketing, scheduling, production, quality and delivery of the programs, products / services of the company
- Responsible for recommending, providing and directing of financial, tax, threat, risk, security and facilities management.
- Responsible for the recommending, providing, overseeing and directing of the company annual budget for Board approval and prudently direct the organization's resources within those budget guidelines according to current domestic / global laws and regulations.
- Responsible for the recommending, providing, overseeing and directing the human resources of the organization according to authorized personnel policies and procedures that fully conform to current domestic / international recognized laws and regulations.
- Responsible for the recommending, providing, overseeing and directing community / public relations matters and assures the organization and its mission, programs, products and services are consistently presented in strong, honest and clear image to relevant stakeholders and other applicable government agencies / personnel.

*Primary Cursory **Mission Critical** Disciplines:*

- Threat Assessment / Threat Intelligence and Anti-Threat Operations -
- Counter-Intelligence and Counter-Terrorism Assessment / Research -
- Intelligence Validation Operations –
- Interrogation Procedures and Operations -
- Identification of High-Risk Soft / Hard Targets of Opportunity and Personnel -
- Crisis Operations and Planning -
- Military, Government, Civilian Security Threat Assessment and Required / Necessary Containment / Termination Operations–
- Intervention Assessment & Command Operations of High-Risk Threats -
- Real-Time Intelligence Operations –
- Mitigation Strategies and Command Operations Director –
- ATPTO Directorate and Operations Commander –
- Distressed Institutions and Company Operations –
- Identification of Compromised Operations, Government Agencies & Governance Operations –
- Turn-around Research, Planning and Strategy –
- Company Threat / Crisis Containment –

- Termination of Operations –
- Termination of Threats -
- Reorganization and Restructuring –
- Organization and Institution Shut-Downs and Seizures –
- Public Relations - Reporting –
- Mitigation Strategy and Implementation –
- Virtual Financial / AML Operations –

Cursory **Specialized Disciplines:**

- Direct, manage and oversee domestic / global company operations including global strategic planning / strategies / protocols.
- Operations focus is "industry / government-wide" (e.g. banking, insurance, governmental agencies, contractors, pharmaceuticals, manufacturing, financial, service-oriented, military, etc.) conducted both domestically and internationally.
- Development and directing of intelligence operations, strategy and methodology; and research, development of directing of Common Operational Picture (COP) methodology, performed on a domestic and / or international level.
- Direct and manage all divisions of company operations including strategy / policy formation and implementation through senior executive management personnel (e.g. vice-presidents, directors and division chiefs, military and agency personnel) as well as OCONUS command responsibilities.
- Develop, implement and direct corporate operations strategic planning and strategy methodology / protocols domestically and internationally.
- Develop and direct the implementation of various applicable mitigation policies and methodologies for various clients and governmental agencies.
- Develop applicable policies and methodology for disaster planning for various clients and governmental agencies.
- Secure, direct and manage Threat, Fraud, Risk and Security studies, research, investigations and assessment operations.
- Develop and direct / command the implementation of various applicable mitigation policies and methodologies for various clients and governmental agencies.
- Develop applicable policies and methodology for crisis / disaster planning for various clients and governmental agencies and applicable mitigation enforcement nationally and internationally.
- Direct, manage and oversee Anti-Money Laundering Operations (AMLO).
- Direct, train, deploy and manage several investigative / forensic teams, or departments, pursuing assigned domestic / global threat / fraud investigations including Joint Assistance Operations (JAO). This includes the directing and coordination / command of applicable divisions as well as with OCONUS personnel / management.
- Direct and manage the preparation of evidence as a result of investigative activities / analysis of concealed threats / frauds and the methods used to conceal it / them.



- Provide expert witness testimony or findings including the preparation of evidence and key exhibits to be used in domestic and international courts, oversight committees and government / agency task forces (both domestically and internationally).
- Identify money sources being dispositioned for terrorist activities/funding.
- Acquiring, validating and submitting the names, with complete supportive information to the real-time-produced international terrorist list as a result of findings and research performed.
- To acquire credible, validated intelligence / information from interrogations(s) performed and the process / method(s) implemented, both under extreme time limitations and conditions, or under exceptional potential high-risk treats required to be identified.
- Establish, train and manage financial and general investigators, threat assessment staffing, risk assessment staffing, auditing personnel (e.g. Internal Auditors) and forensic analysts domestically and internationally.
- Direct / command and manage the investigative potential / known sensitive company government frauds / money laundering operations, which cross international boundaries, for purposes of evidence-acquisition for applicable law enforcement agencies and departments domestically and internationally.
- Direct / command and coordinate investigations / research of point-of-origin high-risk threat / fraud activities, the type of threat(s) / fraud(s) implemented and the companies / parties / government agencies involved. This includes the research, development and documentation of operations, individuals, companies and government agencies involved directly / indirectly (e.g. historical backgrounds, involvements, documenting / accumulating supportive evidence, etc.) and coordinating with various agencies and law enforcement entities domestically / internationally.
- Direct / command and coordinate threat, fraud and risk studies / investigations / assessment reviews / analysis with potential and on-going engagements.
- Direct / command the formation and development of High-Risk Threat Assessment / Fraud Assessment / Risk Assessment policies and procedures.
- Manage / coordinate the development and integration of High-Risk Threat Assessment, Fraud Assessment, Risk Management and Internal Auditing departments with "Convergence Policies".
- Implement and execute High-Risk Threat Operations incorporating / training of Internationals in such on-going operations as well as mitigation / termination policies.
- Operations focus is "industry / government-wide" (e.g. banking, insurance, governmental agencies, contractors, pharmaceuticals, manufacturing, financial, service-oriented, agencies, department of / ministry of defense, military, etc.) conducted both domestically and internationally.
- To direct, analyze and determine the status (condition) of a distressed-failing business / institution and agencies; the degree of threat of complete failure or compromise; assess recovery / stabilization potential; and recommend / present / direct the necessary strategy to reorganize / operations or terminate / mitigate losses.
- To direct the seizure of assets and tangible assets.
- To protect the personnel and physical assets of various entities.

- Direct the complete assessment analysis required to establish a valid and credible **Multi-Faceted Timeline Layouts (MFTL)** and determine whether reorganization or termination of the business / institution / agency is required (warranted) including a raw timetable.
- Strategy formation to be implemented for the reorganization (with or without regulatory protection) or termination and mitigation strategy.
- Business / agency restructuring / reorganization - Formation of the necessary policies, protocols, systems and procedures required as well as direction of their implementation; and establishment of the strategic plan and policies required for the stabilization / growth of the business / institution.
- To analyze the present Chain-of-Command (CoC), and to direct the restructuring / functional transparency of the CoC, throughout all departments, divisions or applicable agencies to optimize the effectiveness of it within the institution / agency(s).
- Agency / Stakeholder representation and public relations - To present "state of condition" reports, advisory recommendations and options; to answer questions addressing causation, containment, mitigation, recovery or shutdown; to direct operations for the purpose of mitigating fallout, public relations reports and stakeholder / agency / government notifications.
- Coordinating decision-making strategies with loan / banking institutions in relation to debt recovery or mitigation for settlement purposes; and representing with legal operations for ultimate approval of such agreed strategy / plans.
- COP implementation and explanations to all applicable parties including company staffing and management, clients, creditors and legal entities (if and when required); and establish business / institution "statements of condition" and "status reports" when appropriate or required.
- Board of Directors / Stakeholder / Agency coordination and advisory operations
- Civil / criminal violations / actions assessment and advisory responsibilities in conjunction with legal operations - mitigate exposure issues and risks, both known and pending.
- Settlement analysis, strategy and advisory direction.
- Proactive COP business planning and direction for reorganization, stabilization and re-establishment of revenue growth.
- Direct, manage and oversee domestic / international company operations including global strategic planning / strategy.
- Operations focus(es) addressing "industry / government-wide" (e.g. banking, insurance, governmental agencies, contractors, pharmaceuticals, manufacturing, financial, service-oriented) conducted both domestically and internationally.
- Development and directing of business intelligence operations, strategy and methodology; and research, development of directing of COP methodology.
- Develop, implement and direct corporate operations strategic planning and strategy methodology / protocols domestically and internationally.
- Develop and direct the implementation of various applicable mitigation policies and methodologies for various clients and governmental agencies.
- Develop applicable policies and methodology for disaster planning for various clients and governmental agencies.

- Direct, train, deploy and manage several investigative / forensic teams, or departments, pursuing assigned domestic / global threat / fraud investigations including Joint Assistance Operations (JAO). This includes the directing and coordination / command of applicable divisions as well as with OCONUS personnel / management.
- Manage and provide expert witness testimony including the preparation of evidence and key exhibits to be used nationally and internationally.
- Direct and manage the investigative potential / known sensitive company government frauds / money laundering operations, which cross international boundaries, for purposes of evidence-acquisition for applicable law enforcement agencies and departments.
- Direct and coordinate investigations / research of point-of-origin threat / fraud activities, the type of threat(s) / fraud(s) implemented and the companies / parties involved. This includes the research, development and documentation of operations, individuals, companies and agencies involved directly / indirectly (e.g. historical backgrounds, involvements, documenting / accumulating supportive evidence) and coordinating with various agencies and law enforcement entities domestically / internationally.
- Coordinate and manage threat, fraud and risk studies / investigations / assessment reviews / analysis with potential and on-going engagements.
- Direct the formation and development of Threat Assessment / Fraud Assessment / Risk Assessment policies and procedures.
- Manage / coordinate the development and integration of Threat Assessment, Fraud Assessment, Risk Management and Internal Auditing departments with “Convergence policies.”
- Identification of Targets of High-Risk Threats and responsible for their containment, disposition or termination.
- Direct, implement, execute High-Risk Threat Operations incorporating / training of Internationals in such on-going operations as well as mitigation policies.

**System Development Corporation, United States**

Job Title: Chief Strategy Officer (CSO)

Reports to: Chief Executive Officer (CEO) – United States Government

1992 to 2000

*Summary of **Corporate** Core Responsibilities:*

- Responsible for assisting the Chief Executive Officer with researching, developing, communicating, executing, and maintaining strategic initiatives within the company, including, but not limited to strategic planning, company strategy, methodology, short and long-term objectives.
- Responsible for researching, developing and delivering of the clear, precise understanding of the company-wide strategic plan, methodology and mission by each company facet including, but not limited to company personnel, employees, contractors and management as well as how it drills down into the company’s primary objectives.

- Responsible for the research, development and direction of the company long-term planning and its integration of it into the companies' current objectives.
- Responsible for identifying and directing proactive responses requiring immediate, necessary attention in any and all facets of the company organization / operation.
- Responsible for the on-going research, development and recommendations of current and long-term threats and risks to the company, both internally and externally and their impact, directly or indirectly upon the business strategy / objectives of the company.
- Responsible for the development, execution and directing of mitigation methodology / protocols addressing threats, liabilities and risks within the domestic / global company operations.

Cursory **Mission Critical Disciplines:**

- Distressed Institutions, Company and Agency Operations –
- Specialized Research and Development Projects –
- Threat / Crisis Containment –
- Reorganization and Restructuring –
- Mitigation Strategies, Methodologies and Protocols Development –
- Threat Assessment / Threat Intelligence and Anti-Threat Operations -
- Counter-Intelligence Assessment / Research –
- Intelligence Validation Operations –
- Identification of High-Risk Targets of Opportunity and Personnel -
- Crisis Operations and Planning -
- Security Assessment and Methodologies / Protocols –
- Intervention Assessment & Command Operations of High-Risk Threats -
- Real-Time Intelligence Operations –
- Interrogation Procedures and Operations -
- Mitigation Strategies and Command Operations Director –
- ATPTO Directorate and Operations Commander –
- AFPFO Directorate -
- Identification of Compromised Operations, Agencies & Governance Operations –
- Termination of Operations –
- Termination of Threats -
- AML Operations –
- Multi-Faceted Timeline Layouts (MFTL) and Common Operation Picture (COP) Research and Development -

Cursory **Specialized Disciplines:**

- To direct, analyze and determine the status (condition) of a distressed-failing business / institution / agency; the degree of threat of complete failure; assess recovery / stabilization potential; and recommend / present / direct the necessary strategy to reorganize operations or terminate / mitigate losses.
- Direct the complete assessment analysis required to establish a valid and credible Business Rating and determine whether reorganization or termination of the business / institution is required (warranted) including a raw timetable.
- Strategy formation to be implemented for the reorganization (with or without regulatory protection) or termination and mitigation strategy.
- Business restructuring / reorganization - Formation of the necessary policies and procedures required as well as direction of their implementation; and establishment of the strategic plan and policies required for the stabilization / growth of the business / institution.
- Coordinating decision-making strategies with loan / banking institutions in relation to debt recovery or mitigation for settlement purposes; and representing with legal operations for ultimate approval of such agreed strategy / plans.
- MFTL and COP implementation and explanations to all applicable parties including company staffing and management, clients, creditors and legal entities (if and when required); and establish business / institution "statements of condition" and "status reports" when appropriate or required.
- Board of Directors / Stakeholder / Government coordination and advisory operations
- Civil / criminal violations / actions assessment and advisory responsibilities in conjunction with legal operations - mitigate exposure issues and risks, both known and pending.
- Settlement analysis, strategy and advisory direction.
- Proactive MFTL and COP business planning and direction for reorganization, stabilization and re-establishment of revenue growth.
- Operations focus is "industry / government-wide" (e.g. banking, insurance, governmental agencies, contractors, pharmaceuticals, manufacturing, financial, service-oriented, military, etc.) conducted both domestically and internationally.
- Development and directing of intelligence operations, strategy and methodology; and research, development of directing of MFTL and COP methodology, performed on a domestic and / or international level.
- Direct and manage company operations specifically including strategy / policy formation and implementation through senior executive management personnel (e.g. vice-presidents, directors and division chiefs, military and agency personnel) as well as national and international command responsibilities.
- Develop, implement and direct strategy methodology / protocols and operations domestically and internationally.
- Develop and direct the implementation of various applicable mitigation policies and methodologies for various clients and governmental agencies.

- Develop applicable policies for disaster planning / protocols.
- Secure, direct and manage Threat, Fraud, Risk and Security studies, research, investigations and assessment operations domestically and internationally.
- Develop and direct / command the implementation of various applicable mitigation policies and methodologies for the private, public and agency sectors – nationally and internationally.
- Develop applicable policies and methodology for crisis / disaster planning for various clients and governmental agencies and applicable mitigation enforcement nationally and internationally.
- Direct, manage and oversee Anti-Money Laundering Operations (AMLO).
- Direct, train, deploy and manage several investigative / forensic teams, or departments, pursuing assigned domestic / global threat / fraud investigations including Joint Assistance Operations (JAO). This includes the directing and coordination / command of applicable divisions as well as with foreign personnel / management.
- Direct and manage the preparation of evidence as a result of investigative activities / analysis of concealed threats / frauds and the methods used to conceal it / them.
- Provide expert witness testimony or findings including the preparation of evidence and key exhibits to be used in domestic and international courts, government committees and government / agency task forces nationally and internationally.
- Identify money sources being dispositioned for illegal / insurgency operations.
- Acquiring, validating and submitting the names, with complete supportive information addressing the real-time-produced international insurgency operations as a result of findings and research performed.
- Establish, train and direct threat / risk investigators, threat assessment staffing, business auditing personnel (e.g. Internal Auditors) and forensic analysts domestically and internationally.
- Direct / command and manage the investigative potential / known sensitive company government frauds / money laundering operations, which cross international boundaries, for purposes of evidence-acquisition for applicable law enforcement agencies and departments domestically and internationally.
- Direct / command and coordinate investigations / research of point-of-origin high-risk threat / fraud activities, the type of threat(s) / fraud(s) implemented and the companies / parties involved. This includes the research, development and documentation of operations, individuals, companies and agencies involved directly / indirectly (e.g. historical backgrounds, involvements, documenting / accumulating supportive evidence) and coordinating with various agencies and law enforcement entities domestically / internationally.
- To acquire credible, validated intelligence / information from interrogations(s) performed and the process / method(s) implemented, both under extreme time limitations and conditions, or under exceptional potential high-risk treats required to be identified.
- Direct / command and coordinate threat, fraud and risk studies / investigations / assessment reviews / analysis with potential and on-going engagements.
- Direct / command the formation and development of High-Risk Threat Assessment / Fraud Assessment / Risk Assessment policies and procedures.

- Implement and execute High-Risk Threat Operations incorporating / training of International personnel in such on-going operations as well as mitigation policies.
- To direct the seizure of assets and tangible assets.
- To protect the personnel and physical assets of various entities.
- Business / agency restructuring / reorganization - Formation of the necessary policies, protocols, systems and procedures required as well as direction of their implementation; and establishment of the strategic plan and policies required for the stabilization / growth of the business / institution.
- To analyze the present Chain-of-Command (CoC), and to direct the restructuring / functional transparency of the CoC, throughout all departments, divisions or applicable agencies to optimize the effectiveness of it within the institution / agency(s).
- Multi-Faceted Timeline Layouts (MFTL) determination(s) and COP implementation and explanations to all applicable parties including company staffing and management, clients, creditors and legal entities (if and when required); and establish business / institution “statements of condition” and “status reports” when appropriate or required.
- Direct, manage and oversee domestic / global company security, threat, risk and fraud operations including applicable-required global strategic planning / strategy.
- Development and directing of business intelligence operations, strategy and methodology; and research, development of directing of MFTL and COP methodology.
- Develop applicable policies and methodology for disaster planning for various clients and governmental agencies.
- Manage and provide expert witness testimony including the preparation of evidence / exhibits to be used.
- Direct and manage the investigative potential / known sensitive company and / or government frauds / money laundering operations, which cross international boundaries, for purposes of evidence-acquisition for applicable law enforcement agencies and departments.
- Direct and coordinate investigations / research of point-of-origin threat / fraud activities, the type of threat(s) / fraud(s) implemented and the companies / parties / government agencies involved. This includes the research, development and documentation of operations, individuals, companies and agencies involved directly / indirectly (e.g. historical backgrounds, involvements, documenting / accumulating supportive evidence) and coordinating with various agencies and law enforcement entities domestically / internationally.
- Coordinate and manage threat, fraud and risk studies / investigations / assessment reviews / analysis with potential and on-going engagements.
- Direct the formation and development of Threat Assessment / Fraud Assessment / Risk Assessment policies and procedures.
- Direct / coordinate the development and integration of Threat Assessment, Fraud Assessment, Risk Management and Internal Auditing departments with “Convergence policies”.

- Identification of High-Risk Threats and responsible for their containment, disposition or termination.
- Direct, implement, execute High-Risk Threat Operations incorporating / training of International personnel in such on-going operations as well as mitigation policies.

**Rand Research & Development Corporation, United States**

Position: Chief Executive Officer (CEO)

Reports to: Board of Directors (BoD) / Chief Corporate Counsel – Specific Agencies of the United States Government

1983 to 1992

*Cursory Summary of **Corporate** Core Responsibilities:*

- Responsible for the formation, implementation and directing of strategic planning and objectives of the company.
- To facilitate the Board, and as a member, carry out and perform its governance role regarding all strategic goals and objectives of the company, including, but not limited to advising, recommending, informing Board members.
- Responsible for the direction and leadership toward the achievement of the organization's philosophy, mission statement, strategies, and its annual goals / objectives.
- Provides Board administration / support including, but not limited to the direction, planning, design, promotion, marketing, scheduling, production, quality and delivery of the programs, products / services of the company.
- Responsible for the total company program including, but not limited to the direction, planning, design, promotion, marketing, scheduling, production, quality and delivery of the programs, products / services of the company.
- Responsible for recommending, providing and directing of financial, tax, threat, risk and facilities management.
- Responsible for the recommending, providing, overseeing and directing of the company annual budget for Board approval and prudently manages organization's resources within those budget guidelines according to current domestic / global laws and regulations.
- Responsible for the recommending, providing, overseeing and directing the human resources of the organization according to authorized personnel policies and procedures that fully conform to current domestic / international recognized laws and regulations.
- Responsible for the recommending, providing, overseeing and directing community and public relations matters and assures the organization and its mission, programs, products and services are consistently presented in strong, positive image to relevant stakeholders.



Cursory **Mission Critical Disciplines:**

Distressed Institutions and Company Operations -  
“Think Tank” Assigned Projects –  
Research and Development of Sensitive Projects -  
Company Threat / Crisis Containment -  
Reorganization and Restructuring –  
Termination of Operations -  
Mitigation Strategy and Policies –  
Multi-Faceted Timeline Layouts (MFTL) Research, Development and Implementation -  
Threat, Risk and Fraud Research and Development -

Cursory **Specialized Disciplines:**

- Establish the strategy, methodology and parameters for special “think tank” projects assigned.
- Establish Research and Development Operations and Projects assigned.
- To direct, analyze and determine the status (condition) of a distressed-failing business / institution; the degree of threat of complete failure; assess recovery / stabilization potential; and recommend / present / direct the necessary strategy to reorganize operations or terminate / mitigate losses.
- Direct the complete assessment analysis required to establish a valid and credible Business Rating and determine whether reorganization or termination of the business / institution is required (warranted) including a raw timetable.
- Strategy formation to be implemented for the reorganization (with or without regulatory protection) or termination and mitigation strategy.
- Business restructuring / reorganization - Formation of the necessary policies and procedures required as well as direction of their implementation; and establishment of the strategic plan and policies required for the stabilization / growth of the business / institution.
- Agency / Stakeholder representation and public relations - To present condition reports, advisory recommendations and options; to answer questions addressing causation, containment, recovery or shutdown; to direct operations for the purpose of mitigating fallout, public relations reports and stakeholder notifications.
- Coordinating decision-making strategies with loan / banking institutions in relation to debt recovery or mitigation for settlement purposes; and representing with legal operations for ultimate approval of such agreed strategy / plans.
- Development of Multi-Faceted Timeline Layouts (MFTL) and their implementation and explanations to all applicable parties including company staffing and management, clients, creditors and legal entitles (if and when required); and establish business / institution “statements of condition” and “status reports” when appropriate or required.
- Board of Directors / Stakeholder coordination and advisory operations.

- Civil / criminal violations / actions assessment and advisory responsibilities in conjunction with legal operations - mitigate exposure issues and risks, both known and pending.
- Settlement analysis, strategy and advisory direction.
- Proactive MFTL business planning and direction for reorganization, stabilization and re-establishment of revenue growth.
- Direct, oversee and manage company operations.
- Direct and manage all divisions of company operations including strategic planning and strategy, policy formation and implementation through executive management personnel (e.g. vice-presidents, directors and division chiefs).
- Develop, implement and direct corporate operations strategic planning and strategy methodology / protocols.
- Development and directing of business intelligence operations and methodology; and research, development of directing of MFTL methodology.
- Operations focus is "industry / government-wide" (e.g. banking, insurance, governmental agencies, contractors, pharmaceuticals, manufacturing, financial, government agencies and departments, service-oriented, etc.) conducted both domestically and internationally.
- Responsible for directing contracts (engagements) addressing suspected security issues, threats, risks and fraudulent activities in business operations.
- Interrogation Procedures and Operations
- Formulate and establish Security, Threat / Fraud Assessment and Risk Assessment operations strategies and policies nationally and internationally.
- Identification of Risks and Threats, and Responsible for their disposition / containment.

**Aranea Research & Development Corp., United States**

Job Title: Director of Information Research (Internship, Senior Business Investigator / Forensics Analyst)

Report to: Chief Operations Officer (COO)  
1977 to 1983

*Cursory Specialized Disciplines:*

- Direct and coordinate the Information Research Division including the management of all related staffing / personnel.
- Direct and coordinate two divisions consisting of accounting / finance investigators, and forensic analysts.
- Developed and directed high-end threat and risk analysis/resolutions (domestically and internationally).
- Responsible for management of assigned casework and contracts (engagements) addressing suspected fraudulent activities in business operations.
- Investigate domestic and global financial fraud and uncover the methods and procedures used in illegal revenue acquisition and disposition.

- Investigate off-shore banking fraud, physical assets and equipment that was designated “under embargo” designations.
- Interrogations Procedures and Operations
- Perform and manage various general case assignments / research projects.
- Development, institution and management of Fraud Management and Risk Management operations.
- Developed Multi-Faceted Timeline Layouts (MFTL) for Client Projects.

### **Selected Cursory Summary of Career Highlights and Career-Related Cases-Operations with Cursory Descriptions**

- **BCCI** - (Bank of Credit and Commerce International) <sup>iii</sup> - Accepted and historically-known as the single, largest global criminal operation incorporating numerous illegal activities and fraud on a multi-billion dollar level.

Developed and directed mission-critical strategic international operations planning and strategy methodology / protocols, specifically addressing high-end threats, high-end risks and frauds.

Was responsible for the directing / coordination of tactical planning, investigation methodology and management of specific task force(s) providing the European investigation arm pursuing fraudulent activities (e.g. Anti-Money-Laundering activities, embezzlement, money-rotation, tax fraud, etc.) as well as investigative teams both domestically in the United States and internationally in Europe, Middle East and Pacific Rim countries.

Direct, manage, train, deploy and coordinate teams consisting of, but not limited to general investigators, financial investigators, internal auditors, forensics analysts, accountants and the like. Was chief liaison to governmental officials, including agency management, as well as one of the four primary strategy consultants heading strategy and on-going strategic planning operations.

Directed and managed the acquisition of evidence through extensive investigative / research procedures of global money laundering, illegal financing and distribution of funds, history acquisition on numerous individuals and companies, cell-ops identification, asset movement and tracking, falsification of numerous records, financial reports, concealment of money / asset transactions, etc.

Identification and presenting to oversight committees, agencies and country law enforcement / regulatory agencies of money laundering, the individuals, parties and agencies involved, the amounts that could be identified; monies that were channeled into illegal operations as well as the nature of the illegal operations (e.g. type, scope, party(s) involved, etc.); and money(s) channeled into known / potential terrorist networks.

- **Enron Corporation** - Was responsible for the direction and management of tactical planning, investigation of specific task force(s) validating specific fraud(s) and providing direct evidence for use with various agencies and advocates.

Directing, management and deployment of teams consisting of, but not limited to general investigators, financial investigators, internal auditors, forensics analysts, accountants and the like, both domestically and internationally.

Chief consultant responsible for the foreign government / advocate-directed analysis of Dynegy, Inc., Puerto Quetzal Power Project (Guatemala), Northern Marianas Power Project (Guam), and Cuiaba Integrated Project (Brazil) regarding their alleged role in the various frauds and identification of the types / methodology of the frauds

- **Colonial Realty** - Senior Liaison between Federal and State agencies. Lead consultant for case evidence formation and preparation (overseas related revenue movement). Directed / managed evidence preservation.

Assembled, controlled and directed investigative teams in identification of illegal revenue distribution into non-company sources. Investigated overseas off-shore account activities, AML and exposed shell-bank and shell-corporation entities being used to move / distribute fraudulently-acquired revenue.

- **The Hague, Netherlands** – Senior Liaison between / with European Police Office (**Europol**) and OLAF with EU member states, Colombia, Various African Countries, S.E. Europe and the Ukraine.

Focuses on intelligence and validation of on-going investigations addressing international organized crime, weapon trafficking, human trafficking, human rights violations, and the identification of International ML operations, International virtual money transactions and International clearing house frauds.

- **District Court of Tulsa County, State of Oklahoma, United States** - 2005 Landmark case establishing damages for Internet fraud, internet espionage and stalking of an individual and the business operations of the individual exceeding a sustained judgment exceeding 1.4M USD.

This case was the first of its kind in that it was able to prove the specific individual-provable malice and intent, direct fraudulent actions for the purposes of financial destruction of a business and individual, and sustained value of both tangible and intangible damages, which prior to this date, has never been successfully performed (in the civil or criminal court system) without being overturned.

- **Chief Consultant** to various United States agencies projects and assignments specifically addressing the formal investigation, research, historical information formation of organizations-individual(s) activities, development and formation of criminal case work to be aggressively pursued through the use of **RICO** (Racketeer Influenced and Corrupt Organizations Act) statutes, both domestically and in international cooperative forums (where applicable).

This included, but was not limited to direct investigation and recognition of activities and individuals' suspect of direct / indirect money laundering operations, anti-terrorist financing operations and movement of illegal revenues / assets using fraudulent systems, activities and operations for purposes of concealment and non-detection. Forums included Joint Assistance Operations (**JAO**), Anti-Terrorist Operations with emphasis on Anti-Money Laundering Operations (**AMLO**) and specialized **Anti-Terrorist Operations (ATO)**.

- **Anti-Terrorist Operations (ATO)** - Chief Consultant for domestic and foreign cooperative projects / assignments with investigative focuses on, but not limited to anti-money-laundering, blocked finance / asset auditing and controls, weapons trafficking and historical information formation of organizations-individuals and their activities.

Threat Assessment research and tactical resolutions were developed and performed determining the nature and impact of the organizations and individuals specifically addressing their scope of operations; to identify and preserve evidence of threat, risk and fraudulent activities and operations to be used for task force formation; and eventual criminal prosecution and termination of such activities. Heavy emphasis on special projects addressing **JAO** and **Terrorist Funding / Finance Identification Operations (TFFIO)**.

- **Chief Consultant / Investigator** for various case-builds / studies and investigations of organizations / individuals involved directly or indirectly with governmental / law enforcement agencies such as EU/EC projects with **European Anti-Fraud Office (OLAF)** investigations.

This included, but was not limited to reconstruction and historical information formation of organizations, individuals and their fraudulent activities / operations. Included liaison consultant responsibilities between agencies as well as international agency counterparts.

Formation of investigative teams that would identify concealed fraudulent activities and operations, AML, identify the methods used for illegal revenue acquisition and disposition, to whom or what entities, and final evidence formation for prosecution.

Also included JAO projects and specialized Anti-Terrorist Anti-Money Laundering Operations.

- **Chief Consultant** for United States Federal Bureau of Investigation (FBI) Private Domestic Operations, United States Department of Justice (DoJ) AMLO and parallel Financial Action Task Force (**FATF**) Projects/Operations.

This included formation of tactical methodology for investigative operations and Anti-Bribery Anti-Fraud (ABAF) operations. Also incorporated liaison consultant responsibilities with case building under the Foreign Corrupt Practices Act (**FCPA**) with the EU, Middle East and Pacific Rim forums.

- **Senior Consultant and Liaison** for the European Anti-Fraud Office (OLAF) 2003 – Present (11+ years) **Senior Consultant and Liaison** to the United States and Collateral Designated International Countries

Ongoing/Prior Joint Consulting Projects including, but not limited to:

- **EU/North America** AML Enforcement and Transparency/Cooperation Issues-

Focuses: Research, Reports, Studies and Recommendations on AML/Criminal Activities with the objective(s) of Identification, Isolation, Containment and Cessation of Identified Activities. Included High-Risk Threat, Risk and Fraud Operations, and monitoring and tracking terrorist funding operations utilizing conventional / virtual money laundering.

- **EU/North America/South America** AML Enforcement and Transparency/Cooperation Issues -

Focuses: Research, Reports, Studies and Recommendations on AML/Criminal Activities with the objective(s) of Identification, Isolation, Containment and Cessation of Identified Activities. Included High-Risk Threat, Risk and Fraud Operations, and monitoring and tracking terrorist funding operations utilizing conventional / virtual money laundering.

- **EU/North America/Southeast Asia/Asia** AML Enforcement and Transparency/Cooperation Issues -

Focuses: Research, Reports, Studies and Recommendations on AML/Criminal Activities with the objective(s) of Identification, Isolation, Containment and Cessation of Identified Activities. Included High-Risk Threat, Risk and Fraud Operations, and monitoring and tracking terrorist funding operations utilizing conventional / virtual money laundering.

- **EU/North America/Eastern Europe** AML Enforcement and Transparency/Cooperation Issues -

Focuses: Research, Reports, Studies and Recommendations on AML/Criminal Activities with the objective(s) of Identification, Isolation, Containment and Cessation of Identified Activities. Included High-Risk Threat, Risk and Fraud Operations, and monitoring and tracking terrorist funding operations utilizing conventional / virtual money laundering.

- **EU/North America, Africa and Middle East** AML Enforcement, Focuses: Research, Reports, Studies and Recommendations on AML/Criminal Activities with the objective(s) of Identification, Isolation, Containment and Cessation of Identified Activities. Included High-Risk Threat, Risk and Fraud Operations, and monitoring and tracking terrorist funding operations utilizing conventional / virtual money laundering.

- EU Fraud Identification, Validation, Enforcement and Transparency/Cooperation Issues-Research, Reports, Studies and Recommendations

- Active and Aged-Case Analysis/Projects

- AML Country Jurisdiction - Research/Studies, Reports and Recommendations

- Evidence Validation/Coordination with Applicable Country Agencies

- Submission of Reports, Findings and Recommendations

- Case Management Liaison between Country Law Enforcement Agencies

- Evidence Analysis/Validation

- Case/Project Timeline Formation, COP and Recommended Strategies/Policies for Implementation

- Identification of Targets of High-Risk Threats and Responsible for their disposition / containment or termination

- Identifying and Monitoring of Conventional Revenue and Virtual Money Laundering

- **Senior Consultant, Analyst and Liaison** for the United States Department of Homeland Security 2003 – Present (11 years)

Ongoing/Prior Joint Consulting Projects including, but not limited to Participation-Appointment(s) in/with the Following Policy Institute(s):

- National Center on Foreign Relations;

- James Williamson Center;

- National Endowment on Foreign Relations; and

- System Development Corporation

**Focuses (summary):**

- Threat (Domestic and International) Assessment/Mitigation Research, Analysis, Studies and Reports
- Assigned Operations (Domestic and Global)

- **Senior Consultant** to the United States Department of Defense 1995 – Present (19 years)

Ongoing/Prior Joint Consulting Projects including, but not limited to Participation-Appointment(s) in/with the Following Policy Institute(s):

- National Center on Foreign Relations;
- James Williamson Center;
- National Endowment on Foreign Relations; and
- System Development Corporation

**Focuses (summary):**

- Threat (Domestic and International) Assessment/Mitigation Research, Analysis, Studies and Reports
- Assigned Operations (Domestic and Global)

**Selected Summary of Foreign Career Highlights and Career-Related Cases-Operations**

- Foreign Consultant and Advisor to various foreign countries including, but not limited to **Republic of Rhodesia** (now named “**Zimbabwe**”), specifically intelligence analysis addressing opposition forces. Directly involved with the advisory development, strategy, organization and execution of various military operations including, but not limited to:
  - “**Operation Dingo**” (1977);
  - “**Operation Hurricane**” (1970-1979);
  - “**Operation Thrasher**” (1976);
  - “**Operation Grapple**” (1976); and
  - “**Operation Repulse**” (1976)



Command and Directed **Psychological operations (PSYOP)** as well as subsequent related operations throughout the country until country-capitulation took place.

Was issued a “Command Commission” under the Rhodesia **Combined Operations (CO)** in conjunction with PSYOP and intelligence operations personnel/military.

Was responsible for two (2) **Joint Operational Centers (JOCs)** deployed throughout the specified target(s) of operations and secondary assigned objectives.

The advisory role changed to a High-Threat Assessment / Directorate Operations addressing the immediate relocation of personnel and citizens who were at “extreme risk”, as well as attempting to move assets and holdings that were being frozen, appropriated illegally or under a proxy-nationalization of assets of specific people / personnel of the “in-progress” newly-formed government.

- Middle East Operations, specifically addressing the movement of armaments and munitions. Tracked and located weapon parts and components that were banned via the U.N. Embargo mandates as well as “**voluntary**” Embargo mandates of the United States and other foreign countries.
- Current Maritime protection operations (e.g. Somalia), strategies and policies for cargo vessels including counter-measures against hostile forces which included utilization of “extreme” counter-measures (e.g. armed counter-measures/solutions).
- Foreign Consultant and Advisor monitoring and addressing boarder conflict issues between Vietnam and Cambodia. This was an expanded assignment since it was “substantially” broader in complexity due to it incorporating several treaties with surrounding (bordering) countries which, in specific cases, were amended, but never ratified by all participating parties.

This included historical analysis and political policies that were “fluid” in that they were constantly changing or being re-interpreted, making conclusions / determination (and validation) of the facts exceptionally hard.

- The overseeing and directing of the pre-post effects of the nationalization of United States (et al.) by Venezuela. This included, but was not limited to the protection and re-location of American personnel and contract personal, and related physical assets/resources.
- This specific advisory / directorate position was exceptionally hard and defined as an “escalating” high-risk threat situation due to deteriorating relationships between the United States, its allies and the Venezuelan government.

The result was requiring an ever-increasing momentum in expediting the evacuation of personnel out of the country as the primary priority, and the attempt to remove assets and revenues of specific companies that were being nationalized by the Venezuelan government as a secondary consideration.

- Appointment as **Regional Director of Threat and Risk Assessment** in Kabul, Afghanistan (2006-2010 under the **National Directorate of Security (NDS)** during the administrative control of **Amrullah Saleh**.

Authority and Command Responsibilities included, but was not limited to liaison operations, establishment of relevant targets of high risk opportunities, identification and/or termination of national anti-government operations, on-going intelligence operations and reports to applicable government and staffing personnel. Included the research and development center for security operations, threat assessment and mitigation strategies, policies and operations.

(Complete listing of history of career-related cases is available upon written request requiring the execution of a Confidentiality Non-Disclosure Agreement (CNDA) unless government security restriction disclosure(s) apply that strictly prohibit such specific activities / information to be disclosed)

### **Think Tanks, Participation**

- National Center on Foreign Relations
- James Williamson Center
- National Endowment on Foreign Relations
- System Development Corporation
- James Watt Center of Strategic Foreign Relations

(Complete listing of domestic and international think tanks where participation in / with them is available upon written request requiring the execution of a Confidentiality Non-Disclosure Agreement (CNDA) unless government security restriction disclosure(s) apply that strictly prohibit such specific activities / information to be disclosed)

### **Scope of Operations and Experience Locations:**

- North America (Canada, United States and Mexico)
- Central and South America
- Europe (EU)
- Eastern Europe
- Pacific Rim

- Middle East
- Eastern Europe
- Africa
- S.E. Asia, Asia

(Complete historical listing of all Scope of Operations and Experience Locations is available upon written request requiring the execution of a Confidentiality Non-Disclosure Agreement (CNDA) unless government security restriction disclosure(s) apply that strictly prohibit such specific activities / information to be disclosed)

### **Education and Cursory Certifications:**

#### **ACFE - United States**

2007 - Certified Fraud Examiner (CFE) - Number 508554

Emphasis: Fraud Assessment, Domestic and International

Disciplines and Specialties: Anti-Terrorist Finance / Funding Operations;

Anti-Terrorist Operations and Task Force Formation; and

Anti-Money Laundering Task Force Investigation and Operations.

#### **Association of Certified Fraud Specialists (ACFS) –United States**

2011 – Certified Fraud Specialist

Emphasis: Threat Assessment, Fraud Assessment, Domestic and International

Disciplines and Specialties: Anti-Terrorist Finance / Funding Operations;

Anti-Terrorist Operations and Task Force Formation;

Anti-Money Laundering Task Force Investigation and Operations;

Location of Targets of High-Yield Opportunity and Securing or Disposition Thereof; and

Tracking-Location and Elimination of Illegal Weapons, Munitions and Collateral; and

Components/Parts, Tracking and Location of Terrorist Funding/Money Movement.

#### **Certified Threat Analyst (CTA) – United States**

1999 – Certified Threat Analyst

Emphasis: Domestic and International Threat Analysis, Assessment and Mitigation

Disciplines(s) and Specialties: Counter-Terrorism / Money Laundering Operations;

Global Threat Analysis with Emphasis on Domestic and International Counter-Terrorism / Money Laundering Operations;

Threat Analysis with Emphasis on Domestic and International Counter-Terrorism / High-Risk Threat Analysis and Money Laundering Operations;

Tracking, location and elimination of weapons, munitions and collateral components/parts; and

Tracking and Location of Terrorist Funding and Money Movement (e.g. Point of Origin to Point of Disposition as well as purpose(s)/usage).

**Certified High-Risk Examiner (CHRE), Threat Analysis with Emphasis on Counter-Terrorism / Money Laundering Operations**

1996 – Certified High-Risk Examiner and Instructor

**Discipline(s) and Specialties:** Global Threat Analysis with Emphasis on Domestic and International Counter-Terrorism / Money Laundering Operations;  
Threat Analysis with Emphasis on Domestic and International Counter-Terrorism / High-Risk Threat Analysis and Money Laundering Operations;  
Tracking, location and elimination of weapons, munitions and collateral components/parts; and  
Tracking and Location of Terrorist Funding and Money Movement (e.g. Point of Origin to Point of Disposition as well as purpose(s)/usage).

***Ph.D., Business Administration*** - March 1977 – California, United States  
Emphasis - International Finance and Banking

***M.B.A., Business Administration***, California, United States  
Emphasis - International Finance and Banking

***B.A., History, Major in History / Pre-law, Minor in Political Science*** – California, United States  
Academic standing: top 10%, graduated "magna cum laude"

***A.A., History, Major in History, Minor in Political Science*** – California, United States  
Academic standing: top 10%, graduated "summa cum laude"

***Senior Interrogation Specialist / Instructor***  
MoD/PMO  
1975 to Present

***Senior Interrogator, High-Threat Intelligence***  
MoD/PMO  
1975 to Present

***Senior Intelligence Specialist / Instructor***  
MoD/PMO  
1975 to Present

***Senior Counter-Intelligence Specialist***

MoD/PMO

1977 to Present

***Oklahoma Armed Private Investigator***

CLEET, State of Oklahoma, License - 11AP120368

1994 – Present

***Montana Armed Private Investigator***

Montana Department of Labor and Industry, License - 10220

2010 – Present

***Montana Certified State Firearms Instructor***

Montana Department of Labor and Industry, License - 10284

2010 – Present

***Certified Pistol, Rifle and Shotgun Senior Instructor***

National Rifle Association, License 9137478

1973 – Present

***Weapons Marksmanship Instructor***

MoD/PMO

1975 – Present

***Close Quarter Tactics Trainer and Instructor***

MoD/PMO

1976 – Present

***Urban Warfare / Tactics, Systems and Operations*** – (Senior Trainer and Instructor)

MoD/PMO

1976 - Present

***LOTAR (pr. - KAPAP)*** - (Senior Trainer and Instructor)

MoD/PMO

1976 - Present

***Document Forensics Expert***

DoJ / SD and ILETA, License Identification Number: RS40662-G  
1975 – Present

***Computer Forensics Expert***

MoD/PMO,  
License ML06-591200  
1988 - Present

***Computer Forensics Instructor***

MoD/PMO,  
License ML11-I27732  
1988 - Present

***Data Reconstruction Specialist***

MoD/PMO  
1979 - Present

***International Import/Export Regulatory Examiner - Instructor***

MoD/PMO  
1980 – Present

***Senior Interrogation/Examination Specialist***

MoD/PMO  
1979 to Present

***Interrogation/Examination Specialist***

MoD/PMO  
1975 to Present

***Interrogation/Examination Instructor***

MoD/PMO, SD  
1980 – Present

***History, Political and Cultural Expert, Middle East - Instructor***

MoD/PMO, SD  
1977 – Present

***History, Political and Cultural Expert, S.E. Asia/Asia - Instructor***

MoD/PMO

1975 – Present

***History, Political and Cultural Expert, Africa - Instructor***

MoD/PMO, SD

1975 – Present

***Vermont Private Investigator and Law Enforcement Training Advisor***

State of Vermont and Department of Justice

1989 – 2007

***Connecticut Private Investigator and Law Enforcement Training Advisor***

State of Connecticut and Department of Justice

1983 – 1993

***State of Idaho Department of Justice/Law Enforcement Advisor and Senior Trainer***

State of Idaho, Department of Justice and Idaho Law Enforcement Academy

1978 – 1983

***Senior Weapons Specialist, Small Arms***

1985 - Present

***Senior Weapons Specialist Instructor, Small Arms***

1985 - Present

(Complete detailed historical information and listing of all Educational Degrees and Post-Graduate Degrees and Certifications is available upon written request requiring the execution of a Confidentiality Non-Disclosure Agreement (CNDA) unless government security restriction disclosure(s) apply that strictly prohibit such specific activities / information to be disclosed)

**Security Clearance(s) Status (Past and Current-Active), Government (Domestic-International / Specialized Institutional):**

Confidential, S, TS, SCI, TS/SCI, SCE, SAP, RSP

Shamur, Sodi, and Sodi Beyoter - (MoD/PMO)

Rhodesian Ministry of Internal Affairs (INTAF) - Internal Affairs and Intelligence (IAI), Central Intelligence Organization (CIO), and Combined Operations (CO)

(Complete historical listing of all Security Clearance(s) Status (Past and Currently-Active), Government (Domestic-International / Specialized Institutional) is available upon written request requiring the execution of a Confidentiality Non-Disclosure Agreement (CNDA) unless government security restriction disclosure(s) apply that strictly prohibit such specific activities / information to be disclosed)

**Written Publications, White Papers and Articles:**

(Complete historical listing of all Written Publications, White Papers and Articles is available upon written request requiring the execution of a Confidentiality Non-Disclosure Agreement (CNDA) unless government security restriction disclosure(s) apply that strictly prohibit such specific activities / information to be disclosed).

**Citizenship:**

- United States

(Complete historical listing of all “secondary citizenships” is available upon written request requiring the execution of a Confidentiality Non-Disclosure Agreement (CNDA) unless government security restriction disclosure(s) apply that strictly prohibit such specific activities / information to be disclosed).

**Specialized Programs, Certifications and Backgrounds:**

(Complete historical listing of all Specialized Programs, Certifications and Backgrounds is available upon written request requiring the execution of a Confidentiality Non-Disclosure Agreement (CNDA) unless government security restriction disclosure(s) apply that strictly prohibit such specific activities / information to be disclosed)

**Complete Detailed CV:**

(Complete Detailed CV is available upon written request requiring the execution of a Confidentiality Non-Disclosure Agreement (CNDA) unless government security restriction disclosure(s) apply that strictly prohibit such specific activities / information to be disclosed)



## **Validation of Credentials and Transcripts:**

(Validation of Credentials and Transcripts, including apostilled versions, are available upon written request requiring the execution of a Confidentiality Non-Disclosure Agreement (CNDA) unless government security restriction disclosure(s) apply that strictly prohibit such specific activities / information to be disclosed)

## **Recommendations, Commendations and References:**

(Recommendations, Commendations and References are available upon written request requiring the execution of a Confidentiality Non-Disclosure Agreement (CNDA) unless government security restriction disclosure(s) apply that strictly prohibit such specific activities / information to be disclosed)

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### **End Notes:**

<sup>i</sup> **PricewaterhouseCoopers, IL**, an affiliate of Kesselman & Kesselman, PricewaterhouseCoopers - Kesselman Global Risk Management Solutions (GRMS) – Tel Aviv, Israel - 2006 to December 2007 (Interim Position – Sabbatical)  
Job Title: Director of Threat and Fraud Assessment  
Reports to: Chief Executive Officer (CEO) / Senior Corporate Advocate

### **Responsibilities:**

- Successfully researched, developed, launched and directed a new division for the company to formally enter the field of threat and fraud assessment.
- Formation, management and supervision of the Threat and Fraud Assessment Department(s) and Division.
- Engagement market focus is "industry / government-wide" (e.g. banking, insurance, governmental agencies, contractors, pharmaceuticals, manufacturing, financial, service-oriented, etc.) conducted both domestically and internationally.
- To direct, pursue and oversee engagements addressing investigation and identification of fraud / fraudulent activities. This includes, but is not limited to domestic and global assignments requiring direct interface with applicable company departments / divisions and governmental agencies / law enforcement operations operating domestically / internationally.
- Direct, coordinate and manage specific cases in tandem with European Anti-Fraud Office (OLAF) addressing European Union (EU) / European Commission (EC) fraud cases / engagements where fraud must be identified / proven for the purposes of prosecution and / or recovery.
- Trained and directed staff in all aspects of fraud projects / studies to determine whether causation is fraud vs. negligence, gathering and preservation of evidence, case formation / proofing of evidence and report generation / submission.
- Research, develop and implement strategic planning and strategy methodology / protocols regarding operation policies and objectives.
- Directing and managing training programs of client and company personnel in Anti-Threat Post-Threat Operations (ATPTO)<sup>i</sup> and Anti-Fraud Post-Fraud Operations (AFPFO)<sup>i</sup> policies and procedures.
- Develop, direct, coordinate and manage all company investigatory and research operations / case studies in threat and fraud engagements.
- Develop, institute and manage ATPTO and AFPFO programs as well as Containment / Mitigation policies and procedures.
- Coordinate risk assessment reviews / analysis with potential and on-going engagements with appropriate divisions, departments and staffing.
- Formation, development and directing of Threat Assessment / Fraud Assessment / Risk Assessment policies and procedures.

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ii Project: **World Bank**, International Development Association (I.D.A.)  
Criminal Division - Global Anti-Laundering and Banking Crimes  
Job Title: CEO (Project Title: Chief Executive Advisor)  
Reports to: Board of Directors / Chief Corporate Counsel

Summary of Corporate Core Responsibilities:

- Responsible for the formation, implementation and directing of strategic planning and objectives of the company.
- To facilitate the Board, and as a member, carry out and perform its governance role regarding all strategic goals and objectives of the company, including, but not limited to advising, recommending, informing Board members.
- Responsible for the direction and leadership toward the achievement of the organization's philosophy, mission statement, strategies, and its annual goals / objectives.
- Provides Board administration / support including, but not limited to the direction, planning, design, promotion, marketing, scheduling, production, quality and delivery of the programs, products / services of the company.
- Responsible for the total company program including, but not limited to the direction, planning, design, promotion, marketing, scheduling, production, quality and delivery of the programs, products / services of the company.
- Responsible for recommending, providing and directing of financial, tax, threat, risk and facilities management.
- Responsible for the recommending, providing, overseeing and directing of the company annual budget for Board approval and prudently manages organization's resources within those budget guidelines according to current domestic / global laws and regulations.
- Responsible for the recommending, providing, overseeing and directing the human resources of the organization according to authorized personnel policies and procedures that fully conform to current domestic / international recognized laws and regulations.
- Responsible for the recommending, providing, overseeing and directing community and public relations matters and assures the organization and its mission, programs, products and services are consistently presented in strong, positive image to relevant stakeholders.

Mission Critical Disciplines:

Distressed Institutions and Company Operations –  
Turn-around Research, Planning and Strategy -  
Company Threat / Crisis Containment –  
Reorganization and Restructuring –  
Public Relations - Reporting -  
Mitigation Strategy -

- To direct, analyze and determine the status (condition) of a distressed-failing business / institution; the degree of threat of complete failure; assess recovery / stabilization potential; and recommend / present / direct the necessary strategy to reorganize operations or terminate / mitigate losses.
- Direct the complete assessment analysis required to establish a valid and credible Business Condition Rating (BCR) and determine whether reorganization or termination of the business / institution is required (warranted) including a raw timetable.
- Strategy formation to be implemented for the reorganization (with or without regulatory protection) or termination and mitigation strategy.
- Business restructuring / reorganization - Formation of the necessary policies and procedures required as well as direction of their implementation; and establishment of the strategic plan and policies required for the stabilization / growth of the business / institution.
- Agency / Stakeholder representation and public relations - To present condition reports, advisory recommendations and options; to answer questions addressing causation, containment, recovery or shutdown; to direct operations for the purpose of mitigating fallout, public relations reports and stakeholder notifications.

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- Coordinating decision-making strategies with loan / banking institutions in relation to debt recovery or mitigation for settlement purposes; and representing with legal operations for ultimate approval of such agreed strategy / plans.
  - Common Operational Picture (COP) implementation and explanations to all applicable parties including company staffing and management, clients, creditors and legal entities (if and when required); and establish business / institution “statements of condition” and “status reports” when appropriate or required.
  - Board of Directors / Stakeholder coordination and advisory operations.
  - Civil / criminal violations / actions assessment and advisory responsibilities in conjunction with legal operations - mitigate exposure issues and risks, both known and pending.
  - Settlement analysis, strategy and advisory direction.
  - Proactive COP business planning and direction for reorganization, stabilization and re-establishment of revenue growth.

Specialized Disciplines:

- Development and directing of staffing / personnel in case-sensitive studies, gathering of evidence and submission of reports / recommendations to be used to identify domestic / international security threats and frauds in financial institutions / banking / service-oriented institutions and governmental institutions. Areas of focus include, but are not limited to money laundering, terrorist money movement, and criminal fraud (e.g. wire transfer, ATM, credit cards, negotiable bank paper, Letters of Credit, Commercial / International Loan Operations, Corporate Identity Theft and Individual Identity Theft).
- Development and directing of business intelligence operations, strategy and methodology; and research, development of directing of COP methodology.
- Research, develop and implement strategic planning and strategy methodology / protocols regarding short-term / long term monitoring objectives (e.g. Basel-II).
- Provide selected solutions / recommendations addressing the methodology, and protocols to be implemented for submitted solutions, mitigation of damages, PR, future protective measures, recovery and prosecution.
- To secure, train and direct staffing / teams upon acquisition of formalized approvals.
- To provide, on a case-by-case basis, historical validated evidence establishing the individuals, companies and organizations that are involved, point of origin and degree of threat / exposure.
- Direct, coordinate and manage specific acquired / indentured cases in tandem with various applicable government agencies, law enforcement, anti-laundering and anti-terrorist entities (e.g. European Anti-Fraud Office (OLAF) addressing European Union (EU) / European Commission (EC) domestic / international engagements and matters, AMLO and JAO) where security threats, fraud and criminal activities must be identified / proven for the purposes of prosecution, mitigation of damages, compromise, and / or recovery.
- Comparative information / data analysis with purported violations of law, regulations and / or protocols.

(Complete historical listing of all Noted International Interim Project Assignment(s) is available upon written request requiring the execution of a Confidentiality Non-Disclosure Agreement (CNDA) unless government / agency / institution security restriction disclosure(s) apply that strictly prohibit such specific activities / information to be disclosed)

<sup>iii</sup> Founded in 1972, London, United Kingdom and formed by *Agha Hasan Abedi* (also known as *Agha Sahab*).